

IncHI plasmids, a dynamic link between resistance and pathogenicity

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Abstract

Plasmids of incompatibility group (Inc) HI1 are important vectors of antibiotic resistance in both of the major causal agents of enteric fever: *Salmonella enterica* subspecies *enterica* serovar Typhi and *S. Paratyphi* A. In *S. Typhi*, IncHI1 plasmids appeared in the 1970s and spread globally. In some circumstances they are maintained within the bacterial population even in the absence of selection from antibiotics. The low cost associated with IncH plasmids in *Salmonella* is due, in part, to the presence of a plasmid gene encoding an H-NS-like global regulator which acts co-operatively with chromosomally encoded H-NS. Very recently, IncHI1 plasmids have crossed from *S. Typhi* into *S. Paratyphi* A; the acquisition of drug resistance and possibly other phenotypic traits encoded by IncHI1 plasmids has increased the virulence potential of this neglected pathogen. There is no vaccine for *S. Paratyphi* A and resistance to the current drugs of choice, the fluoroquinolones, is also spreading rapidly. There is a conserved backbone to all IncH plasmids but variation occurs in regions of the plasmids associated with antibiotic resistance. These IncHI1 plasmids are allowing major human pathogens to sample genes available in their environment, the human gut, and will be maintained by enhancing the competitive advantage of the bacterial host. Therefore competition between closely related resistance plasmids will probably increase the transmission of enteric fever by enhancing the fitness of their bacterial hosts.

Key Words: IncHI1 plasmid, multidrug resistance, Typhi, pathogenicity

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Introduction

Salmonellosis is a major cause of morbidity and mortality in both humans and animals. Although precise figures on disease burden are not available, it is estimated that globally there are approximately 3 billion human cases per year. The disease syndrome is predominantly gastroenteritis (diarrhoea or vomiting) but invasion from the gut has a major impact on mortality [1]. While vaccines are not widely utilised, either because there is no vaccine or because of cost, antibiotics remain the major tool for managing invasive disease [2]. However, most cases occur in resource-poor regions of the world where resistance to antibiotics and the cost of second-line agents are of major concern for the management of invasive salmonellosis. In this review we will describe the extent of resistance in the most common invasive *Salmonella* serovars: *Salmonella enterica* serovar Typhi and *S. Paratyphi* A. We will then go on to discuss the molecular mechanisms by which these two distinct but closely related pathogens have acquired and now share multi-drug resistance

(MDR) to the antibiotics used for treatment. The main obstacle to current medical interventions is antibiotic resistance.

Enteric fever: Typhoid fever and paratyphoid fever

Typhoid fever is a systemic, febrile illness caused by the bacterium *Salmonella enterica* serovar Typhi (*S. Typhi*). Although, since the early 20th century, the provision of good sanitation in Europe and the USA has led to a decline in the recorded disease burden, enteric fever remains a major public health problem in many parts of the world, mostly in developing countries. Estimates, such as 21.6 million cases resulting in 216,500 deaths during the year 2000, are probably hopelessly inaccurate and the true burden, particularly in sub-Saharan Africa, is completely unknown. Without appropriate treatment, up to 20% of patients may develop and die of severe disease and life-threatening complications such as gastrointestinal perforation, toxæmia, meningitis or endocarditis [3]. Between 1 and 10% of typhoid

patients become chronic carriers; this is defined as excretion of *S. Typhi* in urine or stools for more than one year (reviewed in [2]).

A very similar but classically less severe disease, paratyphoid fever, is caused predominantly by *S. Paratyphi A*. Although previously estimated to cause approximately a quarter of the incidence of typhoid fevers [4], studies from India and Nepal suggest that paratyphoid fever may contribute half of all cases of enteric fever (reviewed in [5]). Passive, community based, culture-confirmed surveillance shows that the proportion of enteric fever caused by *S. Paratyphi A* infection varies, from 14% in Indonesia to 64% in southern China [6].

Antibiotic resistance in Typhoidal *Salmonellae*

Chloramphenicol was introduced for the treatment of typhoid fever in 1948. Although sporadic cases of chloramphenicol resistant typhoid fever were reported two years later, resistance to chloramphenicol took a long time to become established. The first reported outbreak of antibiotic resistant typhoid fever occurred in May 1972 in Kerala, India. Chloramphenicol resistance was demonstrated to be plasmid-borne in the *S. Typhi* isolated during the outbreak. In the same year, two other chloramphenicol resistant outbreaks were also documented, in Mexico and Vietnam, both caused by *S. Typhi* harbouring an IncH plasmid. The global spread of chloramphenicol resistant *S. Typhi* by the 1980s forced a change of treatment to co-trimoxazole or ampicillin in many regions. Multidrug resistance (MDR), defined as resistance to all first-line antimicrobials (ampicillin, co-trimoxazole, and chloramphenicol), emerged gradually in *S. Typhi*. The first reported MDR outbreak occurred in late 1987 in China. In the next two years, there were reports of MDR *S. Typhi* strains from India, Pakistan and the Arabian Gulf. During the expansion of MDR *S. Typhi*, plasmids of incompatibility groups IncA, IncC and IncI were observed but failed to disseminate, whereas plasmids of the IncH group were seen repeatedly in outbreak cases and once acquired, conferred long-term resistance. Multidrug resistant *S. Typhi* strains harbouring IncHI1 plasmids are now globally distributed (reviewed in [1, 7]).

With the emergence of MDR, the fluoroquinolone antibacterials became the

treatment of choice for typhoid fever. Under this new selective pressure, an increase in resistance to fluoroquinolones [8] and a decline in MDR strains in some regions [9] were observed. More recently, the emergence of high-level ciprofloxacin resistance in *S. Typhi* [1] and *S. Paratyphi A* [10, 11] has left treatment for resistant enteric fever dependent on third-generation cephalosporins and azithromycin [2]. While MDR *S. Typhi* strains have been isolated globally since the 1980s, *S. Paratyphi A* remained predominantly susceptible to antibiotics [12, 13]. In recent years however, there has been an increasing incidence of MDR *S. Paratyphi A*, particularly in Asia. In Nepal the MDR rate in *S. Paratyphi A* was higher (7%) than the rate in *S. Typhi* (5%) [14]; in North India, MDR *S. Paratyphi A* isolation was also increased [15]. For *S. Paratyphi A* infections imported into the USA, the only MDR cases were associated with a history of travel to Pakistan [16]. In Europe, paratyphoid is almost entirely an imported disease but still acts as a reminder for the situation in endemic regions. In the UK, MDR *S. Paratyphi A* rose from 9% in 1999 to 25% in 2001 in travellers, most of whom were returning from the Indian sub-continent [17].

Molecular basis of multidrug resistance – IncHI1 plasmids

Plasmids of the *Enterobacteriaceae* are categorized into groups based upon their ability (or inability) to co-exist within the same bacterial cell. Plasmids of the same incompatibility (Inc) group are related, with similar replication mechanisms and regulatory circuits for essential plasmid functions; they cannot survive together in the same bacterial cell [18] and so are considered to be incompatible. More than 30 incompatibility groups have been described.

The H incompatibility complex comprises two Inc groups, IncHI and IncHII, based on their similar H-pilus structure [19]. All IncH plasmids are large molecules with sizes of 150 kb or more and all are temperature sensitive for conjugative transfer [20]. Based on restriction digestion, DNA–DNA hybridization studies and incompatibility studies, the IncHI group of plasmids has been divided into three subgroups, IncHI1, IncHI2 and IncHI3. Although these groups are incompatible with each other, there is minimal homology over the whole of the plasmid [21, 22]. It seems, therefore, that only the replication apparatus is conserved between

these plasmids and that there must be a wide variety of genes associated with IncHI1 replicons. To find plasmids with a reduced rate of transfer at body temperature (37°C) in a human restricted pathogen is unexpected. The efficiency is optimal at 22°C to 30°C which suggests that IncHI1 are potential vectors for the dissemination of genes among bacterial species in water and soil environments [23]. These plasmids have a wide host range which includes the *Enterobacteriaceae* and several Gram negative organisms of environmental significance. So why and how have these plasmids become established in typhoidal *Salmonella*?

The complete nucleotide sequences of three IncHI1 plasmids, R27 (180kb), pHCM1 (218 kb) and pAKU1 (212 kb), have been determined [24-26]. R27, the prototype of the IncHI1 plasmids, was first isolated in the UK from *S. Typhimurium* in 1961. Very similar plasmids have subsequently been recovered from *S. Typhi*; pHCM1 originated from *S. Typhi* in Vietnam in 1993 and pAKU1 was from *S. Paratyphi A* strain AKU12601 from Pakistan in 2002. These three plasmids share a common backbone sequence (>99% identity at the DNA level) including 83% of the pAKU1 sequence. This suggests a close evolutionary relationship and also the spread of these MDR plasmids from one human restricted *Salmonella* serovar to another.

R27, the prototypical IncHI1 plasmid

The prototypical IncHI1 plasmid is R27 which encodes resistance to tetracycline only. This plasmid has been intensively studied for over 20 years and, like all IncHI1 plasmids tested, R27 possesses three replication initiation proteins: RepHI1A, RepHI1B and RepFIA-like [27, 28]. RepHI1A and RepHI1B have been shown to mediate plasmid replication and copy number control by binding of the Rep to the flanking repeat sequences (iterons) [29]. Both RepHI1A and RepHI1B are specific for IncHI1 plasmids. Minimal replicon analysis suggests that either of the two Rep proteins can efficiently replicate the entire plasmid but the RepFIA-like replication initiation protein of R27 is unable to stably replicate the entire plasmid under challenge conditions [28]. This RepFIA-like protein, however, confers one-way compatibility between the HI1 and F plasmids.

This is due to a short region of similarity in the essential replication protein of F (gene E) [30].

Conjugative transfer in IncHI1 plasmids is of special scientific interest, not only because the conjugative machinery has to accommodate the transfer of a very large plasmid, but also because of the way conjugation is regulated. There are two transfer regions (Tra1 and 2) which are essential for plasmid function but widely separated. With the full sequence of R27 we now know that the Tra1 region is located between the coordinates 98 and 117 kb and contains 14 predicted coding sequences (CDSs), only nine of which encode essential transfer genes. The CDSs are organised into three operons: H, R and F [31, 32]. The origin of transfer (*oriT*) was also identified in this region, between the H and R operons. The R and F operons encode the mating pair formation (Mpf) components and show sequence homology to the IncF transfer system. The H operon contains genes encoding for relaxosome proteins (*traJ* and *tral*), the coupling protein (*traG*) and other proteins not essential for transfer. The coupling protein was shown to be ancestrally related (low identity) to that of IncP plasmids. The Tra2 region is sixty-three kilobases away from Tra1, spanning over 36 kb and containing 28 CDSs. Except for four CDSs involved in partition function, the remaining CDSs are arranged into 3 operons encoding for Mpf/H-pilus components (AC and AN operons) and entry exclusion proteins (Z operon) [31] [33]. A total of 11 genes in this region have been demonstrated by mutational and genetic analysis to be essential for conjugative transfer [34]. Similar to Tra1, this region also exhibits a mosaic of IncF-related (Mpf genes) and IncP-related (*trhA* and *trhP*) sequences. In summary, the conjugative transfer system of R27 consists of 20 essential genes located in 2 separate regions and the Mpf system shares a common ancestry with the IncF lineage. The relaxosome, pilin and peptidase genes show closer relatedness to IncP plasmids.

Temperature dependent conjugation and H-NS

An interesting phenotype seen with R27 and R27-like plasmids is that they regulate their own conjugative transfer in a temperature-dependent manner. This has implications for transmission and persistence of the resistance phenotype in the typhoidal *salmonella* population. The thermoregulation of transfer is a multi-level

regulatory process involving the thermo-dependent mating pair formation, the global regulatory protein H-NS and a thermo-modulator Hha. The H pilus is synthesized at an optimal temperature of 27°C by extrusion from the cell surface. At 37°C, the morphology of H pili remains stable whilst the formation of mating aggregates is inhibited [35]. No pili can be observed by transmission electron microscopy at 37°C, in agreement with expression data from other studies. H-NS-like and Hha-like proteins on R27 repress transcription of several CDSs in both Tra1 and Tra2 regions at the non-permissive temperatures, (20-33°C). Band shift assays suggest the inhibition mechanism of H-NS involves interaction with the promoter regions from Tra1 and Tra2 and with the origin of replication (oriT) sequence. The presence of Hha facilitates the generation of higher-order hetero-oligomers of H-NS that interact with DNA and may alter, in a very subtle way, the expression of chromosomal genes of the bacterial host for these plasmids [36].

IncHI1 plasmids in *S. Typhi*

The stable maintenance of IncHI1 plasmids in *S. Typhi* occurred throughout the development of antibiotic resistance in *S. Typhi*. The first reported *S. Typhi* harbouring an IncH plasmid was resistant to chloramphenicol, tetracycline, streptomycin, and sulphonamides and was isolated during a large outbreak of resistant typhoid fever in Mexico City [37]. Two other outbreaks of IncHI1 carrying *S. Typhi* also began in the same year in Vietnam and India. The plasmids from the three outbreaks were all of the IncHI1 group and all the same size, but they differed in the phenotype conferred to the bacterial host in resistance to mercury and ability to utilise citrate [21]. The spread of chloramphenicol-resistant *S. Typhi* forced the change in treatment to either co-trimoxazole or ampicillin in several regions, including India and Vietnam [38]. This then led to the emergence of multiple drug resistant (MDR) *S. Typhi* and in 1988 in Kashmir the first reported MDR outbreak of typhoid fever occurred [39]. Multidrug resistant *S. Typhi* was also reported from Egypt in the same year [40], Shanghai in 1988-1989 [41], Qatar in 1988 [42] and eastern India in 1989 [43]. Several isolates from these regions were shown to carry plasmids of high molecular weights (150kb to 185kb). The MDR *S. Typhi* spread globally and by

1998 IncHI1 plasmids could be isolated from MDR *S. Typhi* worldwide [44].

The movement of IncHI1 plasmid into *S. Paratyphi A*

Plasmid-mediated multidrug resistance was, until recently, uncommon in *S. Paratyphi A*. However MDR *S. Paratyphi A* isolates in Bangladesh and Karachi have now been shown to harbour a large transferable plasmid of 140 MDa (~212 kb). Recently, a 212-kb plasmid of IncHI1 isolated from a *S. Paratyphi A*, identified at the Aga Khan University Hospital in Karachi, Pakistan, was fully sequenced and annotated [26]. Sequence comparison showed high levels of identity of this plasmid (pAKU1) with the two other IncHI1 plasmids, pHCM1 and R27. A shared IncHI1 backbone of 164.4 kb was identified, including the IncHI1 incompatibility locus, the three replicons (RepHI1A, RepHI1B and RepFIA) and genes involved in the core plasmid function of replication, maintenance and conjugative transfer as well as many hypothetical genes.

The pAKU1 plasmid was among 67 PCR-determined IncHI1 plasmids (out of 68 MDR *S. Paratyphi A* isolates) from Pakistan in 2002-2004. The high prevalence of IncHI1 plasmids in this area strongly suggests a successful jump of IncHI1 plasmids into the *S. Paratyphi A* population. Further information is needed to assert whether these IncHI1-bearing *S. Paratyphi A* isolates are the result of a clonal expansion of a single successful combination of *S. Paratyphi A* background and IncHI1 plasmid, or whether IncHI1 plasmids have successfully invaded multiple clones of *S. Paratyphi A* in the population. The next stage of this research will be to type the background strains from a global collection of MDR *S. Paratyphi A*.

Plasmid evolution

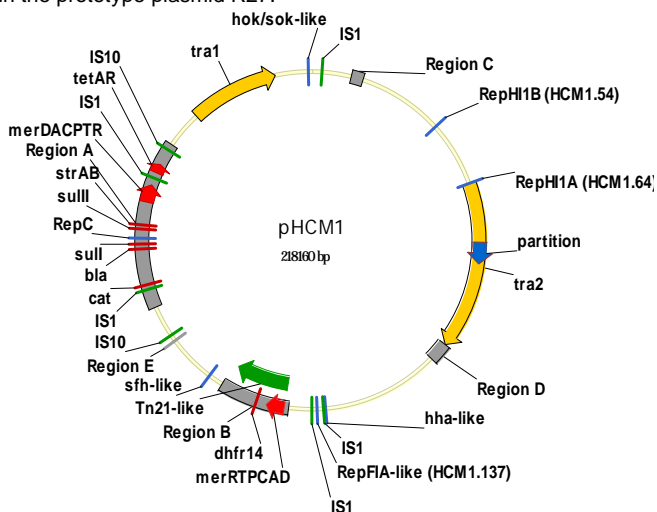
Acquiring a large plasmid (such as IncHI1) is commonly believed to impose a fitness burden on the bacterial host. However, it has been shown in the laboratory environment that co-evolution occurs in plasmid-harboring bacteria, resulting in increased fitness over the plasmid-free ancestor [45] [46]. The evolved plasmids also confer a fitness advantage to new cells, suggesting that the phenomenon is plasmid encoded [46]. If this adaptation occurs under natural conditions, then

once a conjugative plasmid becomes established in a bacterial population, it will remain even if the original selection (antibiotic usage) is removed. Furthermore, the acquisition of a newly adapted plasmid, as seen in *S. Paratyphi A*, might help the new host bacterium to spread due to a fitness advantage, other than resistance, thus amplifying the plasmid.

Although IncHI1 plasmids are not commonly found in organisms other than *S. Typhi*, their thermo-sensitive transmission and relatively wide host range suggest an origin from soil bacteria. Chloramphenicol resistant outbreaks in 1972, the subsequent global spread of IncHI1 plasmids in *S. Typhi* and their recent acquisition by *S. Paratyphi A* may represent the situation suggested above. Studies looking at the natural evolution of clinically important plasmids have been predominantly phenotypic and a definitive link between enhanced bacterial fitness and acquired antibiotic resistance has proved elusive. In the genomic era, however, if global strain collections from countries where antibiotic resistance is endemic can be analysed using data derived from the new sequencing technologies such as 454 and Solexa, the linking of plasmid-borne genes to enhanced bacterial fitness should be feasible, if such a link exists [47], as well as to a reduction in biological cost [48].

Figure 1. Genetic map of IncHI1 plasmid pHCM1.

Genetic map of pHCM1 with highlighted features: yellow – transfer regions; blue – other plasmid biology functions (replication, partition and post-segregation killing); green – mobile elements; red – resistant genes/operons; and grey – regions acquired by pHCM1 but not found in the prototype plasmid R27.



Conclusion

The IncHI1 plasmids found in *S. Typhi* today have been co-evolving with their bacterial host at least since the 1970s. They are still present in many areas of the world, even though resistance to the antibiotics of choice for enteric fever (the fluoroquinolones) is not encoded on IncHI1 plasmids. The plasmids (but not always the resistance phenotype) are stably maintained in antibiotic-free laboratory media. These large plasmids confer no detectable cost to *S. Typhi*. This easy co-existence requires the integration of plasmid and bacterial gene expression regulation networks. A plasmid encoded nucleoid-associated protein (H-NS-like protein) confers a stealth mechanism through which A+T-rich R27-like plasmids can enter *Salmonella* hosts with minimal impact on global gene expression patterns [48]. This strategy has the effect of smoothing the initial entry of extra-chromosomal genetic material, mediating the process of adaptation and integration of this new element into the regulatory network. There is, however, something deeper, some subtle regulatory balance, or imbalance, which confers an advantage associated with the presence of an IncHI1 plasmid. The possession of an R27-like IncHI1 plasmid was shown to increase the level of survival inside monocytic cell lines in *S. Typhimurium* [48] and to allow higher levels of bacteraemia for *S. Typhi* during typhoid fever [49]. This suggests a role of this plasmid in the enhancement of bacterial survival and multiplication in vivo.

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