

## Original Article

**Associated factors of *Acinetobacter baumannii* complex in hospitalized patients: A case-control study**

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**Abstract**

**Introduction:** *Acinetobacter baumannii* complex are microorganisms of critical priority of resistance, being associated with higher costs and negative outcomes for hospitalized patients. Thus, the study aimed to analyse the factors associated with *A. baumannii* complex infection in various hospital sectors.

**Methodology:** This is a case-control study that included patients hospitalized from January 2017 to June 2019. Demographic, microbiological and clinical variables were collected from each patient. All cases had positive culture results for *A. baumannii* complex resistant to more than three classes of antimicrobials. Carbapenem-resistance was examined by the disk diffusion test, while the broth microdilution method was used to determine the susceptibility to colistin.

**Results:** *A. baumannii* complex infection was mostly present in ICU (74.2%) than in other hospital areas. The bacteria was also linked with the length of hospitalization until the results for the culture (OR = 1.13; 95% CI: 1.06 – 1.21;  $p < 0.001$ ) and with pneumonia associated with mechanical ventilation (OR = 4.48; 95% CI: 1.55 – 13.00;  $p = 0.006$ ). Moreover, patients exposed to infection with multidrug-resistant *A. baumannii* complex had higher risks of death (OR = 3.25; 95% CI: 1.06 – 9.91;  $p = 0.039$ ).

**Conclusions:** This study provides evidence that *A. baumannii* complex infection is associated with the number of days of hospitalization up to culture positivity, pneumonia associated with the use of mechanical ventilation and death. Infections appear to be more critical in ICU when compared to other areas. Taken together, these findings could support hospital infection surveillance programs, as well as prevention measures to reduce mortality rates and other complications.

**Key words:** *Acinetobacter baumannii* complex; hospital infection; multiple bacterial pharmacoresistance.

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**Introduction**

*Acinetobacter baumannii* complex (*A. baumannii*) has contributed to the increase in healthcare-associated infections (HAIs), creating major problems for public health. The higher costs of health institutions are, at least in part, consequences of lengthy hospitalizations. In addition *A. baumannii* raises morbidity and mortality rates in hospital services [1,2]. For instance, it has been estimated that the hospital cost linked to HAIs is \$651/day and the costs related to intensive care unit (ICU) are \$1,780/day; in Brazil, this cost can be up to three times higher in patients with infections than in those without infections [3].

The carbapenem-resistant bacterium *A. baumannii*, together with *Pseudomonas aeruginosa*, *Klebsiella*

*pneumoniae* and *Escherichia coli* are critical in the context of health institutions [4]. As a colonizing microorganism, *A. baumannii* causes infections in ICU patients on invasive device and in those in antimicrobials treatment [5]. In the early 1970s, these microorganisms were controlled by aminoglycosides, nalidixic acid, and ampicillin. However, this scenario is no longer the same. Currently, most strains have demonstrated resistance to the vast majority of antimicrobial classes, including 3rd and 4th generation cephalosporins and carbapenems, which have already been considered an excellent treatment option [6]. According to Gazel and Otkun, appropriate doses of colistin, combined with other antibiotics, are available to clinicians dealing with patients infected with with

carbapenem-resistant *A. baumannii* complex, notwithstanding data reporting on cases of colistin resistance and heteroresistance [7].

In the light of the critical importance of microbial resistance and the limited information of risks associated with *A. baumannii* in various hospital sectors, research efforts for better understanding this pathogen are needed, especially in developing countries. Consequently, the aim of the investigation was to analyse the factors associated with *A. baumannii* infection in hospitalized patients.

**Methodology**

This study adopted a case-control design and was approved by the Western Paraná University Research Ethics Committee (n. 3.441114). Cases were all the patients with positive cultures for *A. baumannii* complex resistant to three classes of antimicrobials admitted from January 2017 to June 2019. A total of 29 patients satisfied these criteria. In the other hand, samples for the controls consisted of patients admitted to the hospital who did not present positivity for *A. baumannii*. Two controls were assigned for each case. In addition, controls were matched with cases by age

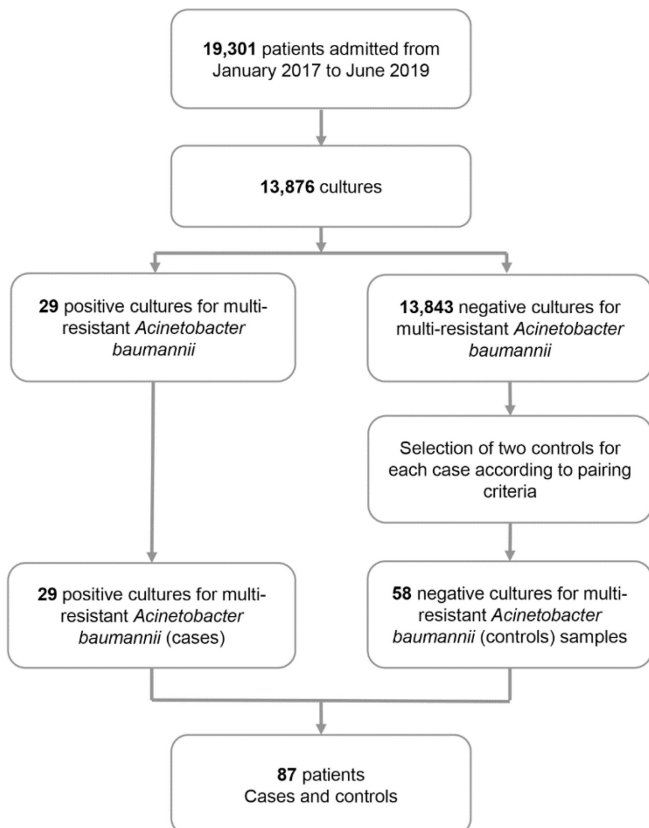
(same age ± 5 years), year of hospitalization, sex, and sector of hospitalization, totaling 58 patients (see Supplementary Table 1). The hospital unit is located in the municipality of Francisco Beltrão, PR, Brazil.

Data were extracted from laboratory reports and epidemiological surveillance records of the Hospital Infection Control Commission (ICC). In all sectors of the hospital, a routine of service was established for this study. Hence, all patients who presented eligible criteria as reported by a physician underwent examinations to verify the presence of bacterial infection. The collected material (biological material and surveillance culture) was sent to a laboratory contracted by the hospital and double checked by the Central State Laboratory (LACEN), responsible for classifying all the isolates as *A. baumannii* complex. The samples were sown in specific medium for the development of microorganisms (MacConkey and blood agar) and incubated for 24 hours at 35°C in a bacteriological greenhouse in the microbiology sector.

The identification for *A. baumannii* was performed by manual methods: cytochrome oxidase reaction, glucose fermentation, motility, arginine dihydrolysis activity, lysine decarboxylation and Gram staining. The confirmation of manual identification was performed through Vitek 2 (bioMérieux®, Marcy-l'Étoile, France) and the presence of the *bla*OXA23 gene was carried out using PCR.

The susceptibility profile of *A. baumannii* isolates were determined by the disk diffusion method. For this technique, a dilution was used on a scale of 0.5 McFarland through the dilution of three to five colonies in 5 mL of trypticase soybean broth (Laborclin®, Pinhais, PR, Brazil), being incubated at 37°C until reaching the scale corresponding to 1.5x10<sup>8</sup>CFU/mL. Subsequently, with the aid of a sterile swab, sowing was performed in Mueller-Hinton Agar and antimicrobial discs were applied: amikacin (30µg), ampicillin/sulbactam (10-10µg), cefepime (30µg), ciprofloxacin (5µg), gentamicin (10µg), imipenem (10µg), meropenem (10µg), doxycycline (30µg), and ceftriaxone (30µg). After being incubated for 24 hours at 37°C, the inhibition zones were read according to recommended standards [7]. These discs were again obtained from Laborclin®, Pinhais, PR, Brazil. Broth dilution method was used for testing colistin resistance and heteroresistance following the ISO 20776-1 guidelines and results were interpreted according to the Clinical and Laboratory Standards Institute (CLSI) [8]. For these experiments, colistin sulfate salt was used (Laborclin®, Pinhais, PR, Brazil) along with cation-adjusted Mueller-Hinton agar (Laborclin®, Pinhais,

**Figure 1.** Flowchart of study recruitment and screening process of cases and controls.



PR, Brazil) starting with 128 mg/L of colistin. Serial dilutions were performed (50µL), inoculated (5×10<sup>6</sup> CFU/mL), an incubated at 35°C for 16–20 hours.

Figure 1 describes the flowchart of the process for selecting cases and controls. After the selection of cases and controls, the following variables were then examined: length of stay in the sector until culture positivity, type of hospital admission (i.e., referral from another hospital or direct from the community), hospitalization sector (ICU and surgical clinic),

diagnosis at admission, use of invasive device (type of probe, central and peripheral catheter, intubation), use of antimicrobials, surgical procedure, and patient outcome (hospital discharge/death). Microbiological data examined included microorganism isolates, antimicrobial resistance profile, isolation site, resistance genes, and phenotypic tests.

Absolute (n) and relative (%) frequencies were used to describe the characteristics of the patients and the resistance profile of *A. baumannii*. For detailing the variables, measures of central tendency (mean and

**Table 1.** Clinical data of patients and genetic characteristics of *A. baumannii* isolates, of the 29 patients with positive culture for *A. baumannii*.

No.	Gender/age	Time of hospital stay until culture positivity	Length of hospitalization	Diagnosis at hospitalization	Sensitivity	Outcome	Sample	MIC for polymixin (mg/L)	Resistance gene
1	F/26	45	89	Cholelithiasis	AMI, DOX	Discharge	Tracheal aspirated	0.125	Oxa_23
2	M/14	15	36	Pancreatitis	AMI, SUT, DOX	Discharge	Tracheal aspirated	0.25	Oxa_23
3	F/18	44	85	Trauma	AMI, SUT	Discharge	Tracheal aspirated	0.125	Oxa_23
4	M/58	2	27	Pneumonia	AMI, DOX, ASB	Discharge	Tracheal aspirated	0.25	Oxa_23
5	F/76	7	41	Pneumonia	AMI, SUT	Death	Wound	0.125	Oxa_23
6	M/60	28	55	Renal failure	AMI, ASB, DOX	Discharge	Tracheal aspirated	0.125	Oxa_23
7	M/60	28	55	Renal failure	AMI, DOX	Discharge	Urine	0.125	Oxa_23
8	M/72	3	65	Trauma	AMI, ASB, DOX	Discharge	Urine	0.25	Oxa_23
9	M/87	60	95	Sepsis	AMI, ASB, DOX	Death	Urine	< 0.125	Oxa_23
10	M/82	22	36	Renal failure	AMI, ASB, DOX	Death	Urine	0.25	Oxa_23
11	M/72	13	70	Ischemic cardiomyopathy	AMI, ASB, DOX	Discharge	Tracheal aspirated	< 0.125	Oxa_23
12	M/20	13	39	Trauma	AMI, LVX, ASB, DOX	Discharge	Tracheal aspirated	< 0.125	Oxa_23
13	F/76	6	10	Pneumonia	AMI, SUT, DOX	Death	Tracheal aspirated	0.125	Oxa_23
14	F/37	18	47	Diabetes	AMI, SUT, DOX	Discharge	Tracheal aspirated	0.125	Oxa_23
15	F/37	18	47	Diabetes	AMI, SUT, DOX	Discharge	Urine	0.125	Oxa_23
16	M/72	14	27	Trauma	AMI, ASB, DOX, LVX	Death	Tracheal aspirated	1.00	Oxa_23
17	M/38	9	22	Trauma	AMI, ASB	Discharge	Tracheal aspirated	0.125	Oxa_23
18	M/68	1	4	Pneumonia	LVX, ASB, DOX	Death	Tracheal aspirated	0.25	Oxa_23
19	M/57	6	13	Coronary artery Disease	AMI, ASB, DOX	Death	Tracheal aspirated	0.125	Oxa_23
20	F/31	27	48	Trauma	DOX, ASB	Discharge	Sputum	< 0.125	Oxa_23
21	M/88	15	16	Urinary tract infection	DOX, ASB,	Discharge	Urine	0.25	Oxa_23
22	M/47	11	23	Stroke	DOX	Death	Tracheal aspirated	0.25	Oxa_23
23	F/82	11	17	Sepsis	DOX, ASB	Death	Tracheal aspirated	0.125	Oxa_23
24	F/82	13	17	Sepsis	DOX, ASB	Death	Tracheal aspirated	0.125	Oxa_23
25	M/52	20	50	Trauma	DOX	Discharge	Tracheal aspirated	0.125	Oxa_23
26	M/29	15	43	Trauma	DOX	Discharge	Tracheal aspirated	2.00	Oxa_23
27	M/58	31	31	Sepsis	DOX	Death	Tracheal aspirated	0.25	Oxa_23
28	M/58	23	31	Sepsis	DOX	Death	Wound	0.25	Oxa_23
29	F/67	10	10	Respiratory failure	DOX, ASB	Death	Tracheal aspirated	0.25	Oxa_23

Doxycycline (DOX), Ampicillin/Sulbactam (ASB), Amikacin (AMI) and Levofloxacin (LVX) samples were not tested for the sensibility test for the Rectal Swab samples.

median) and dispersion (standard deviation and interquartile amplitude) were used. The normality of data was inspected by the Kolmogorov-Smirnov test. Comparisons of variables with normal distribution were performed with student's t-test for independent samples. Mann-Whitney's test was used when data were not normal. In addition, categorical variables were compared with Chi-square test. Variables that presented  $p < 0.20$  in these analyses were included in binary logistic regression models. Analyses were performed in SPSS (Chicago, IL, version 25).

### Results

Out of the 87 patients here included, seven cases are from 2017, 10 cases from 2018, and 12 cases in 2019,

with their respective controls. The incidence of *A. baumannii* was 0.09%, 0.12% and 0.28% in 2017, 2018 and 2019, respectively. All samples from the case samples revealed *A. baumannii* complex with *blaOXA23* gene and resistant to carbapenems. Colistin resistance and heteroresistance was not detected (all isolates had colistin minimum inhibitory concentration below 2 mg/L). Moreover, there was a higher number of cases with *A. baumannii* in the ICU and among males (Supplementary Table 1). Clinical characteristics of cases are shown in Table 1, showing that the tracheal aspirate sample presented most results of *A. baumannii* isolates.

Table 2 shows the general characteristics of the sample. Cases presented longer hospitalization time

**Table 2.** Demographic, clinical characterization, risk factors and positive culture for *A. baumannii* of patients admitted from January 2017 to June 2019 (n = 87).

	Cases (n = 29)		Controls (n = 58)		p
	Mean	SD	Mean	SD	
Age	56.0	22.2	56.8	22.5	0.877
Length of stay until the realization of the culture	18.2	13.6	6.1	8.8	< 0.001
	n	%	n	%	
<b>Year</b>					1.000
2017	7	24.1	14	24.1	
2018	10	34.5	20	34.5	
2019	12	41.4	24	41.4	
<b>Hospitalization sector</b>					1.000
ICU	21	72.4	42	72.4	
Medical and surgical clinics	8	27.6	16	27.6	
<b>Type of hospital admission</b>					0.033
Transfer from another health unit	20	69.0	26	44.8	
Community	9	31.0	32	55.2	
<b>Diagnosis of admission</b>					0.816
Respiratory tract disease	2	6.9	4	6.9	
Trauma	8	27.6	23	39.7	
Cardiovascular disease	5	17.2	11	19.0	
Sepsis	5	17.2	5	8.6	
Respiratory disorder	5	17.2	8	13.8	
Genitourinary system disease	4	13.8	7	12.1	
<b>Use of invasive device</b>					0.062*
Yes	29	100	49	84.5	
No	0	0.0	9	15.5	
<b>Antimicrobial use</b>					0.425
Yes	24	82.8	42	72.4	
No	5	17.2	16	27.6	
<b>Surgical procedure</b>					1.000
Yes	5	17.2	11	19.0	
No	24	82.8	47	81.0	
<b>Patient outcome</b>					0.013
Death	13	44.8	10	17.2	
Discharge	16	55.2	48	82.8	
<b>Isolated site</b>					< 0.001
Tracheal aspirated	20	69.0	12	20.7	
Others	9	31.0	46	79.3	

\* Not included in the regression analyses due to the absence of cases without invasive device usage.

until the culture for *A. baumannii* ( $p < 0.001$ ) and higher transfer rate from another health unit ( $p = 0.038$ ). All cases made use of invasive device. In addition, we observed a higher relative number of deaths ( $p = 0.013$ ) and a higher samples from tracheal aspirate ( $p < 0.001$ ) in cases compared to the controls. No difference related to the diagnosis of admission, the use of antimicrobials and the submission to surgical procedure was observed. The mortality rate in patients with *A. baumannii* in 2017, 2018 and 2019 was 143, 400 and 667 cases per 1000 inhabitants, respectively.

With the exception of one variable (i.e., use of invasive device), variables with  $p < 0.20$  were taken into a logistic regression model (Table 3). Each additional day between hospitalization and the performance of the culture increases by 11% the chance of developing infection with multidrug-resistant *A. baumannii*. Deaths were more common in patients with *A. baumannii*. In addition, it was evaluated that ventilator-associated pneumonia was almost eight times more frequent in cases compared to controls.

## Discussion

Despite the increase in the reports of outbreaks of *A. baumannii* in Brazil, research on its risk factors in different hospital sectors is rare [6]. *A. baumannii* is an etiological agent responsible for endemic diseases in hospital environments, being a microorganism that stands out as a cause of nosocomial infections, especially in ICU. It has the capacity to rapidly acquire resistant-genes, which results in a threat in the use of antibiotics [9,10]. Epidemiological data of *A. baumannii* isolates in hospitals are needed in order to implement prevention strategies, as well as to improve hospital infection programs (especially those focusing on antimicrobials).

In the present study, 72.4% of the isolates of *A. baumannii* were detected in the ICU, which means that only about one in four of positive cultures for this microorganism occurred in other hospital wards. Data on

the prevalence of this microorganism in the various hospital sectors are still limited, and most of available literature investigated the presence of multidrug-resistant bacteria in ICU. Interestingly, Ciello and Araujo (2016) reported a prevalence of 22.8% of this microorganism in ICU, also noting great numbers of isolates in the emergency room (ER) [11]. In the current study, no isolates of *A. baumannii* were found in ER. Moreover, data from a reference hospital in Spain indicated that *A. baumannii* was the microorganism with the highest incidence in HAI, and the infections it caused in ICU accounted for 35.4% of HAI [12].

The length of hospitalizations is directly related to increased chances of developing *A. baumannii* infection [11]. The chance of developing an infection by this microorganism in the present study increases by 11% each day of hospitalization, corroborating past evidence linking a higher chance of developing multidrug-resistant bacteria infections the longer the length of hospital stay [1]. *A. baumannii* infection in ICU is not limited to the length of hospitalization, being also associated with higher patient density and higher healthcare professionals density. *A. baumannii* infections lead to significantly higher costs for the health system (i.e., medications, consultations, and laboratory testing) when compared to other bacteria [13]. Indeed, previous reports have shown a link between *A. baumannii* infections and longer hospitalizations. The length of hospital stay until culture positivity for *A. baumannii* found in the literature (i.e., 24.8 days) [14] seems slightly above that identified in this study (i.e., 18.2 days). Moreover, there is a positive relationship between *A. baumannii* infection and invasive procedures, use of wide-spectrum antibiotics, immunosuppression, and the ICU environment, which itself contributes to the natural selection of microorganisms [15].

It was also observed that patients transferred from other hospital units were more likely to develop *A. baumannii* infections than patients who came directly

**Table 3.** Predictors of positive culture for *A. baumannii* in hospitalized patients.

	OR <sub>br</sub> (95% CI)	p	OR <sub>adj</sub> (95% CI)	p
<b>Length of stay until the realization of the culture</b>	1.11 (1.05–1.18)	< 0.001	1.11 (1.04–1.18)	< 0.001
<b>Type of hospital admission</b>				
Transfer from another health unit	2.74 (1.07–7.01)	0.036	--	--
Community	1			
<b>Patient outcome</b>				
Death	3.90 (1.43–10.60)	0.014	4.39 (1.20–15.99)	0.025
Discharge	1		1	
<b>Isolated site</b>				
Tracheal aspirated	8.52 (3.10–23.42)	0.001	7.68 (2.33–25.30)	0.001
Others	1		1	

from the community, reinforcing that this bacterium remains within the hospital environment. Nonetheless, studies have identified the presence of this bacterium in environments outside the hospital, including samples from food slaughterhouses [16–18]. The presence of this microorganism - especially the one containing the *blaOXA23* gene - in the community represents significant health risk because its dissemination increase community and nosocomial infections, contributing to higher rates of antimicrobial resistance.

Reports on *A. baumannii* strains carrying the *blaOXA23* gene resistant to carbapenems are especially found in isolates from biological samples of ICU patients [19–21]. A study conducted in five Brazilian states showed a 94.2% prevalence of the carbapenem-resistant *blaOXA23* gene [22], which is very similar to data here reported (i.e., found in all cases). The production of carbapenemase belonging to class D  $\beta$ -lactamases, also called oxacilinses (OXAs), are responsible for *A. baumannii* resistance to carbapenems [23]. In addition to Brazil, these carbanepemases have already been detected elsewhere (i.e., Europe, Asia, North and Latin America). This widespread dissemination of OXA-type is facilitated by the presence of insertion sequences and transposons, increasing the potential of its propagation [24,25].

Recent evidence indicate that some patients infected with *A. baumannii* might require colistin treatment [7]. However, in our study, colistin resistance and heteroresistance was not detected since colistin minimum inhibitory concentrations were below 2 mg/L in all isolates. Moreover, no prior cases of hospitalized patients infected with *A. baumannii* presenting with colistin resistance were documented in our city.

Indeed, the regularity in which samples of *A. baumannii* are reported corroborates the endemic feature of this bacteria in the hospital environment. In the present study, similarly to what has been reported by Souza and collaborators [26], *A. baumannii* proved to be more aggressive, hence increasing mortality. A high mortality rate might be explained by an ability of the bacteria in developing resistance to multiple drugs, as well as its capacity to resist the desiccation of abiotic surfaces and in forming biofilms (hence colonizing and invading human epithelial cells) [27,28]. In this study, a mortality rate of 45.5% was found between January 2017 to June 2019, which is lower when compared to the rate of 59% reported in Brazil [9]. An important difference is that, in our study, all the strains contained the gene *blaOXA51*. In Neves and collaborators' investigation, only 51.2% of the strains had this same gene. However, all strains had the expression of the

gene *blaOXA51* (an intrinsic enzyme related to nosocomial infections) [8]. In addition, the current study indicated that mortality rates were increasing over the three years. Hence, from 2017 to 2018, there was an increase of 179.72%. As for the period of 2018 to 2019, a mortality increase of 66.75% was found.

*A. baumannii* infection constituted a risk factor for ventilator-associated pneumonia (VAP). Albeit community-acquired VAP attributed to *A. baumannii* has been reported worldwide, it appears more common in tropical and subtropical locations, such as Brazil and other developing countries [29,30]. Remarkably, even with all the evidence linking *A. baumannii* to negative outcomes, mechanisms involved in infections by this microorganism are not fully understood [31]. Some tentative explanations include its capacity for biofilm formation, the presence of pili, and its degree of hydrophobicity as main contributing factors for adherence to plastics, including catheter surfaces, endotracheal tubes and several other biomaterials [32,33]. In addition, it should also be noted that *A. baumannii* presents itself commensally in the skin, in digestive tract and respiratory tracts. The oropharynx is one of the predominant sites of colonization of this bacterium, since the mucins present in the oral cavity serve as receptors for this bacterial species [15].

Notwithstanding presenting important data, results from this study must be considered in the light of its limitations. First, issues regarding the use of retrospective analysis shall be take into account as the quality of the data was dependent on the records that were made in the hospital. Moreover, laboratory findings from the diffusion disc test were submitted to genetic analyses to explore the presence of the *blaOXA23* gene. However, the diffusion disc test is has limitations (i.e., may present lability and alteration in inadequate concentrations) [34].

## Conclusion

This investigation suggested that *A. baumannii* is endemic in this health service. Longer hospitalizations, the occurrence of transferes from other hospital services and pneumonia associated with mechanical ventilation were significant risk factors for the development of *A. baumannii* resistant to carbapenem. Moreover, 72.4% of cases of *A. baumannii* were detected in the ICU, which means than only about one in four of positive cultures for this microorganism occurred in other hospital wards. These information might support tailored strategies for preventing infections in health institutions.

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### Annex – Supplementary Items

**Supplementary Table 1.** Description of matching procedures for cases (n =29) and controls (n = 58) according to age, year of hospitalisation, gender, and sector of hospitalization in the institution between January 2017 to June 2019.

Age (Cases)	Mean age ± SD (Controls)	Year of cases and controls	Gender of cases and controls	Sector of hospitalization of cases and controls
26	24.5 ± 3.5	2017	F	CC2
14	18.5 ± 0.5	2017	M	ICU
18	18 ± 2	2017	F	CC2
58	53 ± 1	2017	M	CC2
76	74.5 ± 3.5	2017	F	CC2
60	63.5 ± 0.5	2017	M	ICU
60	61.5 ± 2.5	2017	M	ICU
72	70 ± 2.0	2018	M	ICU
87	89 ± 2.0	2018	M	ICU
82	83 ± 1	2018	M	ICU
72	71.5 ± 2.5	2018	M	ICU
20	20 ± 3.0	2018	M	ICU
76	81	2018	F	ICU
37	41.5 ± 1.5	2018	F	ICU
37	34.5 ± 2.5	2018	F	ICU
72	71 ± 4.0	2018	M	CC2
38	29.5 ± 2.5	2018	M	ICU
68	71 ± 3.0	2019	M	CM
57	59.5 ± 0.5	2019	M	ICU
31	28.5 ± 0.5	2019	F	CC2
88	89 ± 4.0	2019	M	CC1
47	47.5 ± 1.5	2019	M	ICU
82	86 ± 2.0	2019	F	ICU
82	83.5 ± 3.5	2019	F	ICU
52	51.5 ± 0.5	2019	M	ICU
29	29.5 ± 4.5	2019	M	ICU
58	58 ± 1.0	2019	M	ICU
58	60.5 ± 0.5	2019	M	ICU
67	67.5 ± 3.5	2019	F	ICU

M = Male; F = Female; ICU = Intensive Care Unit; CC1 = Clinical/Surgical Center 1; CC2 = Clinical/Surgical Center 2.